

Original Article

## Preliminary Results of Treating Cancerous Cells of Lung (QU-DB) by Hyperthermia using Diode Laser and Gold Coated Fe<sub>3</sub>O<sub>4</sub>/SiO<sub>2</sub> Nano-Shells: An in-Vitro Assay

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### Abstract

#### Introduction

In this study, we describe the results of controlled synthesis and application of gold coated Fe<sub>3</sub>O<sub>4</sub>/SiO<sub>2</sub> nano-shells combined with the optical property of gold for enhancement of selective photothermal interaction with cancerous cells based on the surface plasmon resonance (SPR) Phenomena.

#### Materials and Methods

Magnetite Nano-Particles (MNPs) were prepared by means of co-precipitation. MNPs were modified with a thin layer of Silica using the Stober method. The amino-modified Fe<sub>3</sub>O<sub>4</sub>/SiO<sub>2</sub> nano-shells were covered with gold colloids as a self-assembled process. In-vitro assays were performed to determine the effect of apoptosis of the cells based on the cells morphological changes.

#### Results

The biologically inert nano-shells (85 nm) with a Magnetite/Silica core and a gold shell were optically activated. A successful laser-hyperthermia based on the thermal effect of surface plasmon resonance was performed using different gold concentrations. The thermal profile effects of laser power are presented as ideal cases of nanoshell-assisted photo-thermal therapy. The thermally-induced cell death has been shown to be dependent on NPs concentration and laser power density. The power densities of 157 and 184 W/cm<sup>2</sup> caused complete cell death at the focal point of the laser beam. Cell damage was reduced by decreasing the power density of laser. Also, a larger area of damage on cell culture plates was observed at longer intervals of laser irradiation.

#### Conclusion

An optimized laser-(SPR) hyperthermia was obtained using a concentration of gold coated Fe<sub>3</sub>O<sub>4</sub>/SiO<sub>2</sub> nano-shells concentration=0.1 mg/ml at intensity=157 W/cm<sup>2</sup> at 60s.

**Keywords:** Diode Laser, Hyperthermia, Lung Cancerous Cell, Metallic Nano-Shells, Surface Plasmon Resonance

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## 1. Introduction

The increasing availability of nano-structures with highly controlled magnetic and optical properties in the nano-meter size range has created widespread interest in the use of Nano-Particles (NPs) for the diagnosis and treatment of cancer [1]. As early as the late 1800s, physicians were using heat as treatment for cancer [2]. Generally, temperatures above 42 °C can induce cell death in some tissues in the range of 41 °C - 47 °C beginning to show signs of apoptosis [3-5]. Local hyperthermia of 43 °C during chemotherapy infusion produces a “more than additive” increase in cell death compared to chemotherapy alone [6]. Clinically, several types of cancer had complete increased response rates by an absolute 16%-26% when hyperthermia was added to standard external beam radiotherapy [7]. A form of non-ionizing radiation, microwave coagulation therapy induces necrosis by hyperthermia in an invasive fashion similar to Radio Frequency Ablation (RFA) [8]. In an attempt to minimize the risks of generalized hyperthermia, various non-invasive techniques such as nano-particle hyperthermia technology is intended to be used as an adjuvant to radiation therapy [9-11].

Metal nano-particles exemplify the potential for application in targeted hyperthermic therapy. In recent decades, magnetic NPs, especially Fe<sub>3</sub>O<sub>4</sub> (Magnetite) and γ-Fe<sub>2</sub>O<sub>3</sub> (Maghemite), have attracted increasing interest, because of their outstanding properties including super-para-magnetism, and low toxicity, and, as a result, their potential applications in various fields, especially in hyperthermia, enzyme-immobilization, bio-sensing and bio-electrocatalysis, separation and purification [12-16]. Various approaches have been explored for synthesis of high-quality magnetic Iron-oxide NPs [17-22]. There have been extensive reports on the synthesis of magnetic core/shell nano-particles in which the core is Fe<sub>3</sub>O<sub>4</sub> and the shell consists of a metal or metal-oxide. In recent years, much effort has been devoted to the synthesis and characterization of Silica (SiO<sub>2</sub>)-coated Iron-oxide NPs [23-29].

The advantage of the gold nano-shells compared to the Iron-oxide nano-particles is the possibility of engineering them with optical properties suitable for combined imaging and therapy [30,31]. On the other hand, the advantage of magnetic nano-particles is that their movement can be controlled with an external magnetic field so that they can be immobilized close to the targeted tissue [32]. Furthermore, they can be used in magnetic resonance imaging (MRI), due to their high transverse relaxivity [33,34]. However, when Silica and gold are added, the magnetization saturation values of the resultant nano-composite will be decreased.

Due to the high dielectric constant of Silica, the three-layered Fe<sub>3</sub>O<sub>4</sub>/SiO<sub>2</sub>/Au nano-composite would be a stronger light-absorber than the two-layered gold nano-shell [35, 36]. Localized surface plasmon resonance (LSPR), simply defined as collective oscillations of conductive electrons in the metal nano-particles [37]. By a well designed structure including the shape and size of the nano-shell i.e. core-shell ratio, one can expect, based on the Mie theory, to achieve a tunable nano system ranging from visible to near infrared (NIR) [38, 39]. Specifically, this procedure can be used to produce hyperthermia in malignant tumors with the goal of locally inducing cellular necrosis or weakening tumorous tissue into a state where necrosis can occur using a lower than required dose of ionizing radiation from radiation therapy [40]. We know that the small particles in the 60–400 nm size range will extravagate and accumulate in tumors [41,42] via a passive mechanism referred to as the ‘enhanced permeability and retention’ (EPR) effect [43]. The particles accumulate passively, then generate heat upon laser light illumination [44,45].

We currently used gold nano-shells for wound healing purposes [46]. Here, we first synthesize the gold-coated Fe<sub>3</sub>O<sub>4</sub>/SiO<sub>2</sub> nano-shells, and then describe the use of these absorbing nano-shells in NIR heat generation. The principle goal of this report is to determine the efficacy of these three-layered

nano-shells in laser-hyperthermia of cancerous lung cells.

## 2. Materials and Methods

### 2.1. Synthesis and coating of Fe<sub>3</sub>O<sub>4</sub>/SiO<sub>2</sub> nano-shells with gold

Fe<sub>3</sub>O<sub>4</sub>-NPs and SiO<sub>2</sub>-coated Fe<sub>3</sub>O<sub>4</sub>-NPs were synthesized by means of chemical coprecipitation and Stober methods [47]. To coat the Fe<sub>3</sub>O<sub>4</sub>/SiO<sub>2</sub> nano particles with gold the following steps were taken: 65 µl of 3 amino propyl triethoxy silane (APTS- Sigma-Aldrich) was added to a 200 ml of the MNP solution, and the mixture was vigorously stirred for 2h and refluxed. Then, to a 45 ml Aliquot of milli-Q water (specific conductance 0.1 µS/cm- deoxygenated by bubbling N<sub>2</sub> gas for 1h prior to the use) 0.5 ml of 1 M NaOH (Merck) and 1 ml of tetrakis (hydroxymethyl) phosphonium chloride (THPC- Sigma-Aldrich) solution was added [48]. The mixture was vigorously stirred for 5 minutes. Then, 2 ml of 1% Chloro-Auric acid (HAuCl<sub>4</sub>- Sigma-Aldrich) in water was added quickly to the stirred solution. After that an aliquot of APTS-functionalized Silica-NPs dispersed in 1ml of Ethanol (Merck) was placed in a centrifuge tube along with an excess of gold- NPs (5 ml of gold colloid solution). Finally, 25 mg of Potassium Carbonate (K<sub>2</sub>CO<sub>3</sub>- Sigma-Aldrich) was dissolved in 100 ml of water and after 10 minutes of stirring, 1.5 ml of 1% HAuCl<sub>4</sub> was added. 200 µl of the solution containing the Fe<sub>3</sub>O<sub>4</sub>/SiO<sub>2</sub>/Au nano-composites was added to 4 ml of the colorless solution. The gold nano-shells were prepared by reduction of the gold solution with Formaldehyde (37%- Sigma-Aldrich). After 2-4 minutes, the solution changed color to dark pink, which is characteristic of nano-shell formation.

### 2.2. Preparation of cells and gold-coated Fe<sub>3</sub>O<sub>4</sub>/SiO<sub>2</sub> nano-shell compounds

QU-DB lung cancer cells (purchased from the Pasteur Institute, National Cell Bank of Iran) were seeded in 96-well tissue culture plates and incubated at 37°C and 5% CO<sub>2</sub>. Dulbecco's Modified Eagle Medium (DMEM-GIBCO) supplemented with 10% fetal bovine

serum (FBS- GIBCO) was used as cell culture medium (C.C.M.). The serum-free cell culture medium containing nano-particles was placed under UV for 4 hours before being added to cell cultures. After a 24 hour incubation period, cell culture medium was replaced with two different concentrations of gold nano-shell suspensions including 0.01 and 0.1 mg/ml. The nano-shells used in our experiments had a diameter of ~85 nm (75-100nm) and an average shell thickness of ~35 nm which was measured with transmission electron microscopy (TEM) and particle size distribution of gold coated Fe<sub>3</sub>O<sub>4</sub>/SiO<sub>2</sub> nano-shells as shown in Figures 1a,b. TEM imaging shows clusters of small gold NPs assembled on the surface of larger Silica NPs. The self-assembled aggregates consist of tens of gold NPs.

AFM images of nano-shells shown in figure 1c are expected to show a considerable surface roughness due to the agglomeration of the nano-shells. In our case, negative skewness or measure of symmetry over the surface profile indicates the predominance of valleys.

As shown in figure 2, the optical absorption spectrum range of Fe<sub>3</sub>O<sub>4</sub>/SiO<sub>2</sub>/Au nano-structures is relatively broad compared with that of pure gold colloid. In addition, the plasmon line-width is dominated by electron surface scattering. According to Mie's theory [49], the broadening of resonance absorption is related to the size, shape, and aggregation of the gold nano-shells.



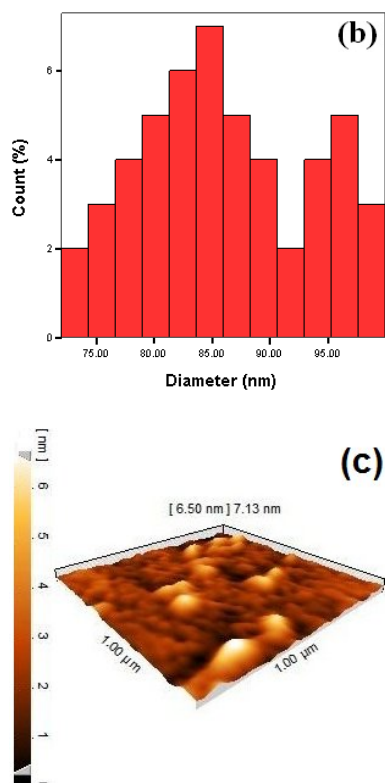


Figure 1. (a) TEM image, (b) particle size distribution, and (c) AFM micrographs in 3D-height mode of gold coated  $\text{Fe}_3\text{O}_4/\text{SiO}_2$  nano-shells

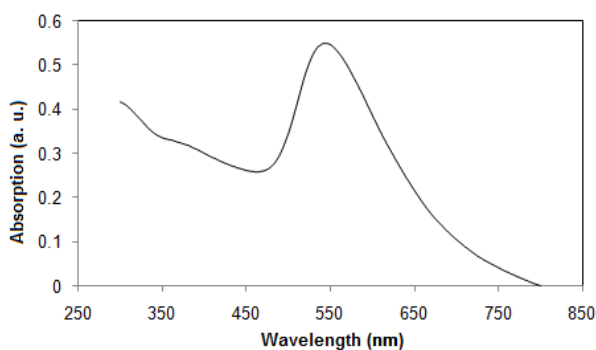


Figure 2. UV-vis spectra of  $\text{Fe}_3\text{O}_4/\text{SiO}_2/\text{Au}$  nanostructures

### 2.3. In-vitro assay

In in-vitro assay the cell-NP medium was irradiated by a home-made NIR diode laser at  $800 \text{ nm} \pm 10 \text{ nm}$  with a focal spot of  $7 \text{ mm}^2$ . Cells were divided into two groups. The first group was exposed to nano-shells and NIR-laser, and the second one was irradiated by NIR-laser alone. The effect of hyperthermic treatment and heat-induced apoptosis was evaluated according to alteration of cell morphology. Live QU-DB lung cancer cells have a fibroblast-like morphology while damaged cells shrink and have a rounded

shape [50]. The temperature was monitored by a digital thermometer with  $0.1 \text{ }^\circ\text{C}$  precision (CHY502A1, MULTI LOGGER) connected to a laptop for further processing. The thermometer was cleaned with warm, soapy water, and then wipes were used for anti-bacterial sterilization.

The main advantage of using NIR lasers with for laser-hyperthermia is their relatively deep penetration due to low absorption coefficients ( $0.02$  to  $0.30 \text{ cm}^{-1}$ ) of haemoglobin, melanin, and water in the region between  $650$  and  $900 \text{ nm}$  [51]. Penetration depths of several centimeters have been reported for breast and brain tissues [41,52].

### 3. Results

The temperature change of the two DMEM groups containing nano-shells with different concentrations of  $0.01$  and  $0.1 \text{ mg/ml}$  were studied at  $184 \text{ W/cm}^2$  for a period of 3 minutes. Also, one group was irradiated without NPs as a control group (figure 3a). A maximum temperature rise of  $6^\circ\text{C}$  was achieved at  $184 \text{ W/cm}^2$  in the control group where it remained constant for the rest of the irradiation time. The temperature reached  $44 - 48^\circ\text{C}$  at  $184 \text{ W/cm}^2$  after 20 seconds at concentrations of  $0.01$  and  $0.1 \text{ mg/ml}$ , respectively. A temperature change of  $8^\circ\text{C}$  was observed after 40 seconds between  $14-184 \text{ W/cm}^2$  for a concentration of  $0.1 \text{ mg/ml}$  and on further heating it reached  $59^\circ\text{C}$  after 100 seconds (i.e.  $22 \text{ }^\circ\text{C}$  rise). In comparison, no significant temperature change was observed when pure cell culture medium was exposed to the laser light. Similarly, the experiment was repeated when QU-DB lung cancerous cells were added to the (DMEM-NP) medium for  $0.01 \text{ mg/ml}$  (figure 3b) and  $0.1 \text{ mg/ml}$  (Figure 3c). These experiments were repeated three times to confirm the reproducibility of the data.

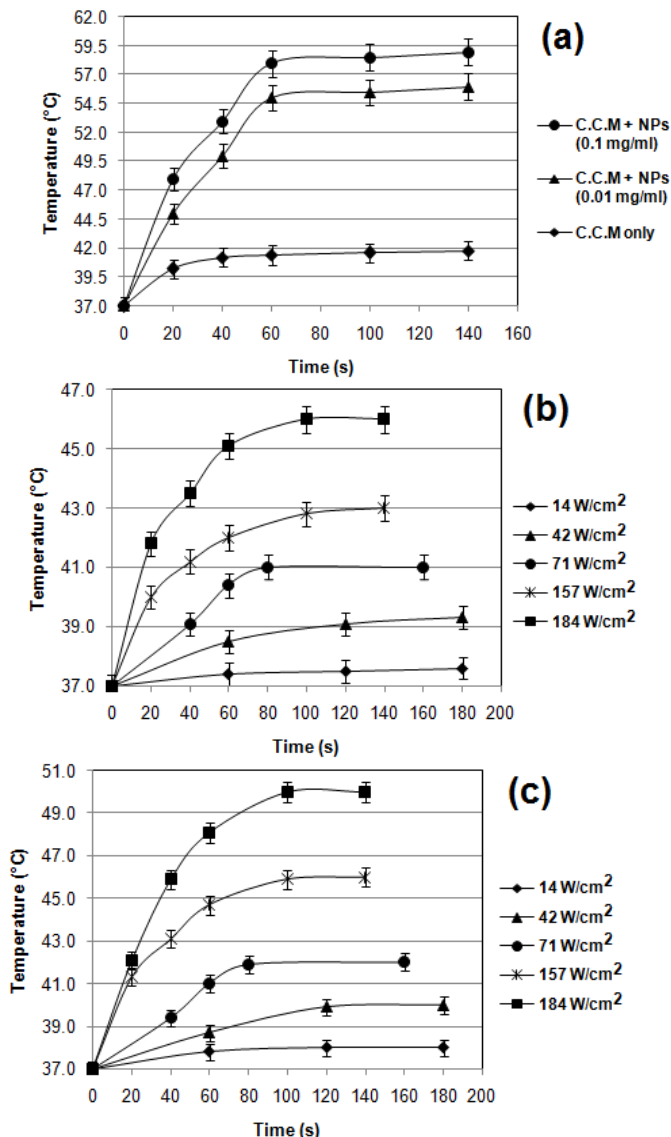


Figure 3. Temperature change ( $\Delta T$ ) over a period of 3 minutes (a) at maximum laser power density of 184 W/cm<sup>2</sup> for C.C.M. only and C.C.M. + MNPs at different concentrations of 0.01 and 0.1 mg/ml, (b) at various laser power densities for C.C.M. + MNPs at concentration of 0.01 mg/ml + QU-DB lung cancer cells, (c) at various laser power densities for C.C.M. + MNPs at concentration of 0.1 mg/ml + QU-DB lung cancer cells

Irradiation of combined NPs, DMEM and QU-DB lung cancer cells showed that the temperature increased with increased exposure time.

This study was performed under two different conditions. Severe hyperthermic treatment within a short time and mild hyperthermic treatment over a long time. In the first group, cells are irradiated with 184, 157, and 71 W/cm<sup>2</sup> for a minute and the second group with 42 and 14 W/cm<sup>2</sup> for 3 minutes. The example of cancer cells, treatment before and after laser-hyperthermia at different power densities are showed in figure 4. Normal morphology of cancer cells i.e. before treatment is depicted in figure 4a. Initially, to determine the effect of laser on cultured cells without nano-particles, they were irradiated at 184 W/cm<sup>2</sup> and as is shown in figure 4b there is no significant change in cell morphology.

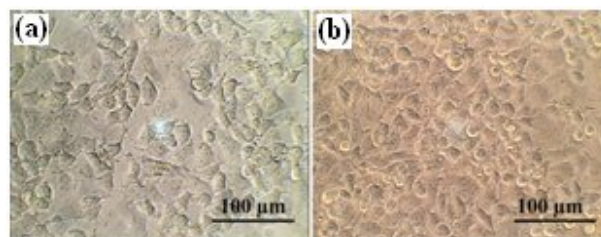


Figure 4. Optical microscopy of (a) control cells (untreated tumor cells) 24 hours after subculture and (b) the cells after laser treatment without nano-shells

Figure 5 demonstrates the cellular morphology changes at 184, 157, and 71 W/cm<sup>2</sup> for one minute. As illustrated in Figure 6, by increasing the treatment time to 180 seconds at 14 and 42 W/cm<sup>2</sup>, the damaged area is extended, and more cells at the region far from the laser beam are affected because of heat transfer. Nevertheless, by decreasing the power density, a more limited damage area was observed for the same exposure time.



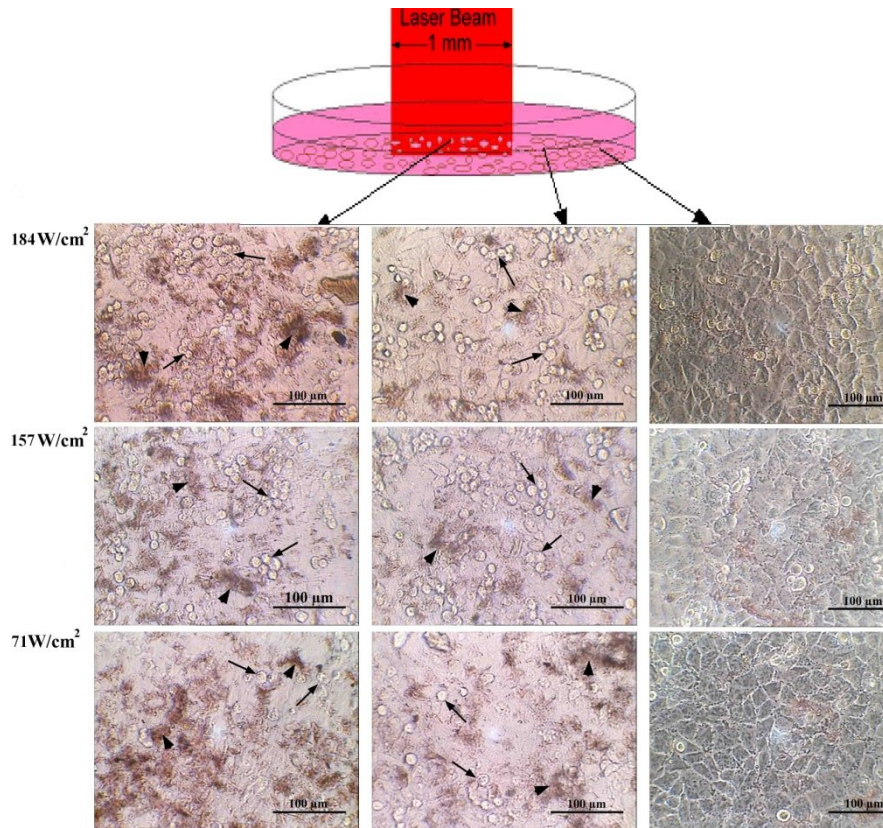


Figure 5. Illustration of QU-DB lung cancer cells after laser irradiation at 71, 157 and 184 W/cm<sup>2</sup> and 0.1mg/ml for 60 s. Three different regions were photographed and depicted in a row: the focal point of the laser beam at the center (left), the adjacent region (middle) and far region (right). Arrow head: nano-shells colonies; arrow: dead cells

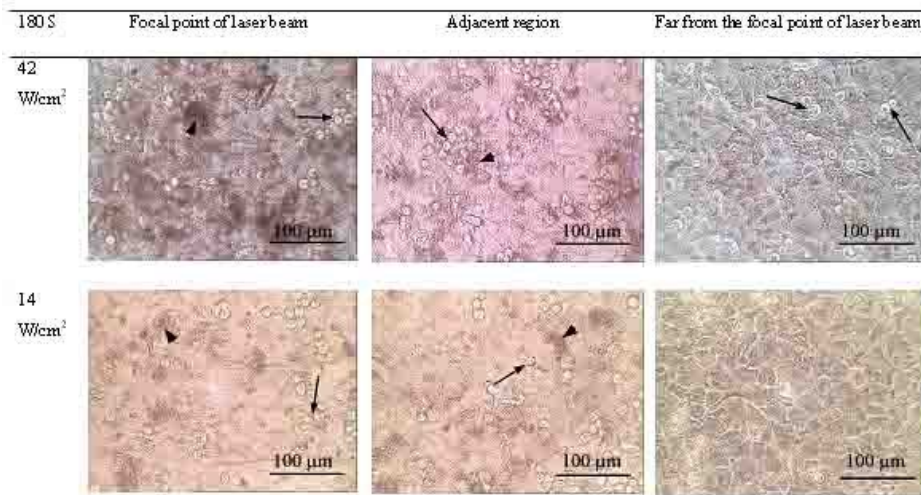


Figure 6. Illustration of QU-DB lung cancer cells after laser irradiation at different powers of 14 and 42 W/cm<sup>2</sup> and 0.1mg/ml for 180 s. Three different parts were photographed and depicted in a row: the focal point of the laser beam at the center (left), the adjacent region (middle) and far region (right). Arrow head: nano-shells colonies; arrow: dead cells

The effect of NPs, concentration on cell apoptosis is shown in figure 7 where the proportion of damaged cells is reduced by

decreasing the concentration to 0.01 mg/ml at 157 W/cm<sup>2</sup> during a one minute exposure.

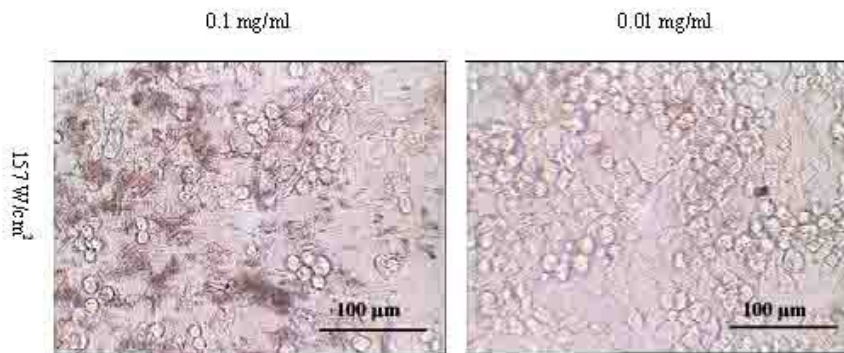


Figure 7. Illustration of QU-DB lung cancer cells after hyperthermia treatment at two different concentrations of gold coated Fe<sub>3</sub>O<sub>4</sub>/SiO<sub>2</sub> nano-shells: 0.1 mg/ml (left) and 0.01 mg/ml (right) at 60s. More colonies and apoptotic cells can be seen in the sample with concentration of 0.1 mg/ml

#### 4. Discussion

Nano-particles' plasmon resonance is sensitive to changes of their dielectric microenvironment [53]. In contrast to irradiated gold coated Fe<sub>3</sub>O<sub>4</sub>/SiO<sub>2</sub> nano-shells dispersed in the DMEM, the concentration of nano-shells has significant effects on the amount of heat generated at a given power density. For core-shell, the NP efficiency parameter has the form [54]

$$\frac{\Delta T_0}{I_0} = \frac{K_{abs} r_1}{4k_\infty} \times \left[ 1 - \exp\left( -\frac{3k_\infty t_p}{r_1^2 (c_0 \rho_0 r_0^3 + c_1 \rho_1 (1 - r_0^3 / r_1^3))} \right) \right] \quad (1)$$

where  $I_0$  is constant and equal to the intensity of the laser radiation during pulse duration  $t_p$ ,  $K_{abs}$  is the efficiency factor of absorption,  $k_\infty$  is the coefficient of thermal conduction of the ambient medium,  $c_0$ ,  $\rho_0$  and  $c_1$ ,  $\rho_1$  are the heat capacity and density of the material of the core (Magnetite-Silica) and shell (Gold) accordingly,  $r_0$  and  $r_1$  are the radii of the core and shell and the thickness of the shell  $r_0 = r_1 - r_0$ . Maximum value of the parameter  $\Delta T_0 / I_0$  indicates the efficiency of transformation of absorbed optical energy by NPs into thermal energy. In our case this corresponds to about 12% and 7% for C.C.M. + MNPs at a concentration of 0.1 mg/ml and C.C.M. + MNPs at a concentration of 0.01 mg/ml + QU-DB lung cancer cells, respectively.

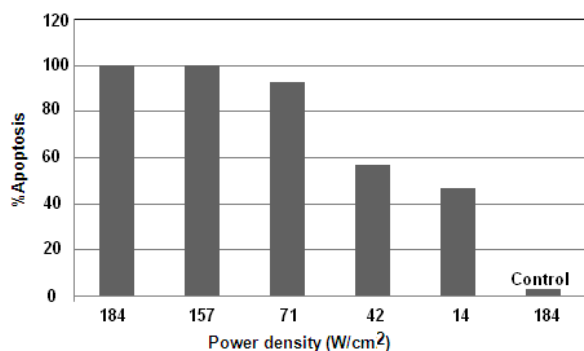
The effect of hyperthermic treatment and heat-induced apoptosis was evaluated according to alteration of cell morphology. Cell membrane, cytoskeleton [42], intracellular proteins[55],

nucleic acid and mitochondrial function [56] are molecular effectors during hyperthermia. Studies have shown the shrinkage of endothelial cells in response to heat stress [42]. The shrinking or morphological changes have been attributed to disgregation of the cytoskeleton after hyperthermia [42]. This phenomenon has been supported in electron microscopic studies [57]. Actin filaments become insoluble during hyperthermia [56]. Changes in cell membrane function were considered as the main cause of cell death. Observation of altered membrane fluidity, permeability and surface blebbing with increase in temperature, support this concept [56]. These phenomena may become evident during the recovery phase of the cell to the base-line temperature, but not immediately after heating [57]. Cells undergoing apoptosis shrink and exhibit cytoplasmic and chromatin condensation. In the final stages, cells become fragmented into small apoptotic bodies [58].

The induction of cell death has been shown to involve concentration and power depending on the gold-coated Fe<sub>3</sub>O<sub>4</sub>/SiO<sub>2</sub> nano-shells and the applied laser. The results indicate that these nano-shells acted as an efficient photo-thermal mediator. By increasing the power density, the temperature rise became faster and higher. One important aspect of this finding was that the maximum temperature of 56 and 59°C of C.C.M fell to  $\approx$  46 and 50°C for 0.01 mg/ml and 0.1 mg/ml, respectively which can be attributed to the protein adsorption in the presence of cells. Optical microscopy showed

that the majority of cells became circular due to thermal stress. It is clearly apparent in optical microscopic pictures that most of the gold-coated  $\text{Fe}_3\text{O}_4/\text{SiO}_2$  nano-shells accumulated in the center of the wells, where the laser beam was focused. This may be due to the movement of gold-coated  $\text{Fe}_3\text{O}_4/\text{SiO}_2$  nano-shells in the direction of the gradient force towards the center of the beam [59].

As shown in Figure 6, all of the cells in the region of irradiation have a circular shape which is the characteristic of apoptotic cells [50]. The percentage of apoptosis was calculated by dividing the total number of rounded cells by the total number of cells at the focal point of the laser as well as the immediate surrounding area ( $6 \times 10^4 \mu\text{m}^2$ ). As shown in Figure 8, at 184 and 157  $\text{W}/\text{cm}^2$  and irradiation time of 60 s all of the cells suffered apoptosis. But, the heat-induced death was reduced to 92.78% at lower power density (71  $\text{W}/\text{cm}^2$ ) at the same period of time. The percentage of damaged cells and gold nano-shells decreases with the increase of distance from the center of the beam. Likewise, treatment was carried out at a lower energy and a longer time. In this situation, the percentage of damaged cells was reduced to 57% and 47.15% at 42 and 14  $\text{W}/\text{cm}^2$ , respectively. But, as illustrated in Figure 6, the area of damaged cells is extended because of heat transfer. This experiment was repeated three times in order to prove the observed reproducibility of the data.



**Figure 8.** The effect of laser energy on heat-induced apoptosis for C.C.M. + MNPs at concentration of 0.1  $\text{mg}/\text{ml}$  + QU-DB lung cancer cells at 60s. Control group stands for laser irradiated QU-DB lung cancer cells without MNPs

Our results indicate that laser power density is one of the important parameters during laser-hyperthermia which affects the extent of cell death. Also, the results showed that apoptosis of cells increased by increasing the laser power density in both concentrations of nano-shells, which effectively implies that temperature and its variations play a crucial role in the laser-hyperthermia process.

In the thermal damage process, the endpoint damage  $\Omega$  inside the irradiated tissue can be estimated by solving the Arrhenius integral [60], assuming that damage is due to thermal denaturation of proteins. Hence,

$$\Omega(t) = \ln \left[ \frac{C_0}{C_t} \right] \quad (2)$$

Where  $C_0$  is the initial concentration of the native state (undamaged) tissue constituent molecules,  $C_t$  is the concentration of undamaged molecules after time (t). Conventionally,  $\Omega(t)=0$  implies no tissue damage at all,  $\Omega(t)=1$  means that most (i.e. 63%) of the tissue is damaged and  $\Omega(t)>1$  indicates a complete irreversible damage. Based on our results,  $\Omega(t)>1$  for cancer cells at 157, 184  $\text{W}/\text{cm}^2$  for 60s using NPs concentrations of 0.1  $\text{mg}/\text{ml}$ .

What the preferred cell temperature should be, at least during an in-vitro operation, remain controversial, varying along the above parameters with apposition pressure, cell type and chemical composition and the likely age of the cell which requires further investigation. All in all, in our experiment an optimized laser hyperthermia condition was obtained by using a high concentration of gold nano-shells concentration=0.1  $\text{mg}/\text{ml}$ , intensity=157 $\text{W}/\text{cm}^2$  at 60s.

## 5. Conclusion

In conclusion, gold shells were formed on the surfaces of  $\text{Fe}_3\text{O}_4/\text{SiO}_2$  nano-shells. Mono-disperse  $\text{Fe}_3\text{O}_4/\text{SiO}_2$  core-shell spheres with a size of about 50 nm can be prepared using the Stober method by first modifying outer Silica shells terminated with Silanol groups.  $\text{Fe}_3\text{O}_4/\text{SiO}_2$  spheres were then served as the



hosts and gold NPs were assembled on their surfaces by electrostatic interaction in order to form gold-coated Fe<sub>3</sub>O<sub>4</sub>/SiO<sub>2</sub> nano-shells. The prepared particles are relatively uniform in size. Two nano-shell concentrations (0.01 and 0.1 mg/ml), at different power densities, were tested where the irradiation process was simulated using one diode laser. The efficiency of the transformation of absorbed optical energy by NPs into thermal energy was about 12% and 7% for C.C.M. + MNPs at a concentration of 0.1 mg/ml and C.C.M. + MNPs at a concentration of 0.01 mg/ml + QU-DB lung cancer cells, respectively. Based on the SPR-induced thermal effect and Mie's

theory, higher concentrations of gold nanoparticles produce a higher temperature rise. The 100% cell damage was achieved at 157 W/cm<sup>2</sup> using 0.1 mg/ml nano-shells. The maximum temperature measured of cells with cell culture medium was about 59°C at 184 W/cm<sup>2</sup>, using 0.1 mg/ml nano-shells. The preliminary results demonstrate that the application of gold nano-shells together with NIR laser is possible based on the SPR mechanism, provided an operating condition including the power density and NPs, concentration is clearly optimized.

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